

Electronic Acknowledgement Receipt

EFS ID:	3111846
Application Number:	10799205
International Application Number:	
Confirmation Number:	7411
Title of Invention:	Block trading system and method providing price improvement to aggressive orders
First Named Inventor/Applicant Name:	Henri Waelbroeck
Customer Number:	9629
Filer:	Frank Morris/Nancy Swanson
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		61165_5001_IDS_4.pdf	194346	yes	5
			bb63ee1055011b2857100c9101822a9926066cf2		

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Information Disclosure Statement Letter		1	4	
	Information Disclosure Statement (IDS) Filed		5	5	
Warnings:					
Information:					
2	NPL Documents	NDL_Domowitz.pdf	1399396	no	26
			f6e0c86757be6bf020c8fd37e426bb06f38c932a		
Warnings:					
Information:					
3	NPL Documents	NDL_Hendershott.pdf	973390	no	6
			c24ffe4663b3a86c327e028cbc64e69bc0dae35f		
Warnings:					
Information:					
4	NPL Documents	NPL_EPSearch.pdf	66497	no	2
			ba56ef1c717ba7874350acbadd8a743b9f2109a		
Warnings:					
Information:					
5	NPL Documents	NPL_Merrin.pdf	53596	no	1
			d67ca1b025b63bda39ccf545bb7524fa63d04c7e		
Warnings:					
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Total Files Size (in bytes):			2687225		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.